



#3

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on 1/19/01 in an envelope addressed to: Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231

Date JANUARY 19, 2001 Sig: Marjorie Scariati
Name: Marjorie Scariati

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Jefferson L. Wagener et al.

SERIAL NO.: 09/691,812

FILING DATE: 10/19/00

TITLE: A RECONFIGURABLE OPTICAL SWITCH

ART UNIT: 2874

DOCKET: 2060/1 C1

Box DD
Assistant Commissioner for Patents
Washington, DC 20231

LETTER

This is in reference to the Information Disclosure Citation(s) filed herewith.

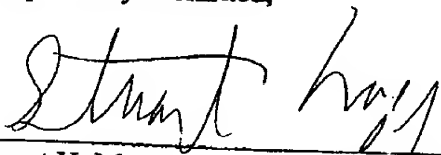
Applicant's attorney notes that each of the cited references in the attached modified form PTO-1449 was cited in the parent case, 09/571,833, filed May 16, 2000. Copies of each cited reference were submitted in the parent case, and are therefore not included in the enclosed Information Disclosure Citation filed on even date herewith in application 09/691,812.

A Supplemental Information Disclosure Citation, Form PTO-1449 is being filed on even date herewith in parent application 09/571,833, disclosing (2) additional U.S. Patents. These same additional references are filed herewith in a Supplemental Information

Disclosure Statement in application 09/691,812. As copies of each of these additional references were submitted in the parent case, copies are therefore not included in the enclosed submission.

It is respectfully requested that the enclosed Information Disclosure Statement and Supplemental Information Disclosure Statement be made of record in application 09/691,812.

Respectfully submitted,



Stuart H. Mayer Reg. No. 35,277
Mayer, Fortkort & Williams
200 Executive Dr., Suite 250
West Orange, NJ 07052

Date: 1/18/01
Tel: (973) 548-1099
Fax: (973) 548-1102

SUPPLEMENTAL

#3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2060/1 C1

In Re Application Of: **Jefferson L. Wagener et al.**

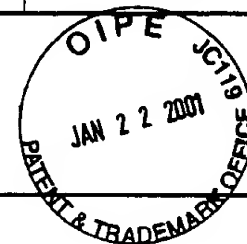
Serial No.
09/691,812

Filing Date
10/19/00

Examiner
Unassigned

Group Art Unit
2874

Title: **A RECONFIGURABLE OPTICAL SWITCH**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

 Docket No.
 2060/1 C1

In Re Application Of: Jefferson L. Wagener et al.

 Serial No.
 09/691,812

 Filing Date
 10/19/00

 Examiner
 Unassigned

 Group Art Unit
 2874

Title: A RECONFIGURABLE OPTICAL SWITCH


Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

 on _____
 (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 1/19/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: 1/18/01

 Stuart H. Mayer Reg. No. 35,277
 Mayer, Fortkort & Williams
 200 Executive Dr., Suite 250
 West Orange, NJ 07052

Tel.: 973-548-1099

CC: